

12/07/01
1057 U.S. PTO

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INTELLECTUAL PROPERTY LAW
(PATENT, BIOTECHNOLOGY, COMPUTER,
TRADEMARK & TRADE SECRET LAW)

December 4, 2001

Docket No.: D6384

1057 U.S. PTO
10/05964
12/07/01

The Honorable Commissioner of Patents
U.S. Patent and Trademark Office
P.O. Box 2327
BOX PATENT APPLICATION
Arlington, VA 22202

Dear Sir:

Transmitted herewith for filing is the non-provisional patent application which claims benefit of priority of provisional application USSN 60/251,375 filed December 5, 2000, now abandoned, in the:

Name of: **Curiel, David T., and Yamamoto, Masato**
For: **Adenoviral Vector Containing Cyclooxygenase-2
Promoter and Uses Thereof**

CLAIMS AS FILED

Fee for:	Small entity	Amount
Basic fee	\$ 370	\$ 370
Each independent claim in excess of 3 (0)	\$ 42	\$ 0
Each claim excess of 20 (0)	\$ - 9	\$ 0
Multiple dependent claim		
TOTAL FILING FEE		\$ 370

X Please charge my Deposit Account No. 07-1185 in the total amount of the filing fee and the assignment recordation fee if any.

X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1185.

X Any additional fees under 37 CFR 1.16.

X Any application processing fees under 37 CFR 1.17.

X Small Entity Status

Applicants hereby claim small entity status under 37 CFR 1.27(d) as an insitution of higher learning.

X Relate Back--35 U.S.C. 119(e)

This non-provisional application claims benefit of priority of provisional application USSN 60/251,375 filed December 5, 2000, now abandoned.

— Assignment

The application is assigned by the inventors to the_____.

X Sequence Listing

The sequence listing is enclosed, including a paper copy, a computer readable form and a compliance letter indicating that the sequence listing on the paper copy and the disk are one and the same.

X Power of Attorney

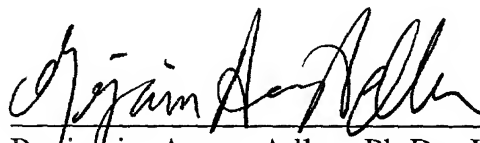
X is attached.

X Address all future communications to:

Benjamin Aaron Adler
ADLER & ASSOCIATES
8011 Candle Lane
Houston TX 77071
(713) 271-5391
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X Two photocopies of this sheet are enclosed.

Date: Dec 4, 2001


Benjamin Aaron Adler, Ph.D., J.D.
Counsel for Applicant
Registration No. 35,423

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Curiel and Yamamoto § ART UNIT:
§
§
FILED: December 4, 2001 §
§
SERIAL NO.: §
§
§
FOR: Adenoviral Vector Containing Cyclo- § DOCKET:
Oxygenase-2 Promoter and Uses Thereof § D6384

The Honorable Commissioner of Patents
U.S. Patent and Trademark Office
P.O. Box 2327
BOX PATENT APPLICATION
Arlington, VA 22201

CERTIFICATE OF MAILING UNDER 37 CFR 1.10
as governed by 37 CFR 1.6(e)

Dear Sir:

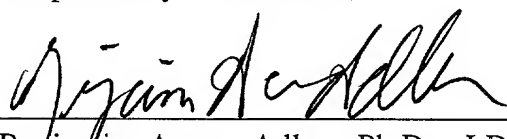
I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service as Priority mail under 37 CFR 1.6(e) in an envelope addressed to: The Honorable Commissioner of Patents, U.S. Patent and Trademark Office, P.O. Box 2327, BOX PATENT APPLICATION, Arlington, VA 22202 as though deposited using the Express Mail "Post Office to Addressee" on the date indicated below:

- 1) Non-provisional application + 22 sheets of drawings;
- 2) Sequence Listing, Compliance Letter & CRF disk;
- 3) Transmittal Letter;
- 4) Two Combined Declarations and Powers of Attorney; &
- 5) Return postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

DATE: Dec 4, 2001
ADLER & ASSOCIATES
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Benjamin Aaron Adler, Ph.D., J.D.
Counsel for Applicant
Registration No. 35,423

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Curiel and Yamamoto	§	ART UNIT:
	§	
FILED: December 4, 2001	§	
	§	
SERIAL NO.:	§	EXAMINER:
	§	
FOR: Adenoviral Vector Containing Cyclo-	§	DOCKET:
Oxygenase-2 Promoter and Uses Thereof§		D6384

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COMPLIANCE OF REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE
DISCLOSURES

Dear Sir:

Applicant provides a computer readable form of the Sequence Listing on the enclosed 3.5 inch disk and a paper copy thereof for the above-referenced application. The disk is a 1.44 Mb DOS-formatted disk. The file is stored as 6384SEQ in text format. I hereby state that the content of the paper copy of the Sequence Listing filed as part of the above-captioned application and the enclosed computer readable copy of the Sequence Listing are the same.

Respectfully submitted,

Date: Dec 4, 2001
ADLER & ASSOCIATES
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Houston, Texas 77071
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